

CANONICAL DECOMPOSITION OF OPERATORS CAUSED BY GIVEN OPERATOR INEQUALITIES

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ABSTRACT. It will be turned out that, given an operator inequality $\phi(\mathbf{T}) \geq \psi(\mathbf{T})$ for a tuple \mathbf{T} of operators, it gives rise to a direct sum decomposition $\mathbf{T} = \mathbf{T}_0 \oplus \mathbf{T}'$ of \mathbf{T} , where \mathbf{T}_0 is the maximal part of \mathbf{T} for which the given inequality holds.

Let \mathcal{H} be a separable Hilbert space, $\mathcal{B}(H)$ the algebra of all bounded linear operators on \mathcal{H} . For any pair of self-adjoint operators $A, B \in \mathcal{B}(H)$, it is easily seen that there exists the maximal A - and B -reducing subspace \mathcal{M} on which $A \leq B$ holds (Consider the spectral projection E for $B - A$ and put $\mathcal{M} = \text{ran}E([0, +\infty))$). It also is seen that any $T \in \mathcal{B}(H)$ has the maximal subspace which reduces T to a positive operator. On the other hand, for a given family \mathcal{G} of polynomials in two noncommuting variables, it is shown in [3] that any $T \in \mathcal{B}(H)$ has the maximal T -reducing subspace \mathcal{M} on which T is \mathcal{G} -definite (resp., \mathcal{G} -semidefinite), i.e.,

$$p(T|_{\mathcal{M}}, (T|_{\mathcal{M}})^*) = O \quad (\text{resp.}, p(T|_{\mathcal{M}}, (T|_{\mathcal{M}})^*) \geq O)$$

holds. We concerned in [4] with a larger family \mathcal{G} than that of polynomials and made some considerations on the maximal subspaces on which previously given essentially \mathcal{G} -definite (resp., \mathcal{G} -semidefinite) tuples of operators are \mathcal{G} -definite (resp., \mathcal{G} -semidefinite). In this paper we will present, among others, a theorem which has led us to the following (For notations, see below):

Corollary 1 (Comparison). *If ϕ, ψ are in $\mathcal{E}_{\mathcal{S}}$, \mathcal{S} a subset of $\mathcal{B}(H)$, then any $\mathbf{T} \in \mathcal{B}(H)^n$ has the maximal \mathbf{T} - and \mathcal{S} -reducing subspaces \mathcal{M} and \mathcal{N} of \mathcal{H} such that*

(a) $\tau_j(\phi(\mathbf{T})), \tau_j(\psi(\mathbf{T})) (1 \leq j \leq n)$ are self-adjoint and

$$\tau_j(\phi(\mathbf{T})) \geq \tau_j(\psi(\mathbf{T})) \quad (1 \leq j \leq n)$$

hold on \mathcal{M} .

(b) $\tau_j(\phi(\mathbf{T})), \tau_j(\psi(\mathbf{T})) (1 \leq j \leq n)$ are essentially self-adjoint and

$$\tau_j(\phi(\mathbf{T})) \geq \tau_j(\psi(\mathbf{T})) \quad (1 \leq j \leq n)$$

hold essentially on any \mathbf{T} - and \mathcal{S} -reducing subspace of \mathcal{N} on which the C^* -algebra generated by the terms of \mathbf{T} and members of \mathcal{S} is irreducible.

In the case, one has $\mathcal{M} \subseteq \mathcal{N}$.

Corollary 1 is well illustrated by the following:

Example 1. It follows that, any pair of positive self-adjoint operators $A, B \in \mathcal{B}(H)$ has the maximal A - and B -reducing subspace on which an indicated operator inequality, e.g.,

$$e^A \leq e^B, \quad \log A \leq \log B, \quad \text{or} \quad A^p \leq B^p \quad (p > 0),$$

holds, and the maximal A - and B -reducing subspace on which an indicated operator inequality essentially holds on any A - and B -reducing subspace on which the C^* -algebra generated by A, B is irreducible. To see this, consider the 2-tuple (T_1, T_2) and apply Corollary 1 to the sets $\mathcal{G}_j = \{\phi_j\} (j = 1, 2, 3)$, where

$$\phi_1(T_1, T_2) := e^{T_1} - e^{T_2}, \quad \phi_2(T_1, T_2) := \log T_1 - \log T_2 \quad \text{and} \quad \phi_3(T_1, T_2) := T_1^p - T_2^p.$$

Let $\mathcal{B}(H)^n$ be the algebra of all n -tuples of operators in $\mathcal{B}(H)$, and for a subset \mathcal{S} in $\mathcal{B}(H)$, \mathcal{S}^n the set of all n -tuples whose terms are in \mathcal{S} . For tuples $\mathbf{A} = (A_1, A_2, \dots, A_n)$, $\mathbf{B} = (B_1, B_2, \dots, B_n) \in \mathcal{B}(H)^n$, the map $\lambda_{\mathbf{A}, \mathbf{B}}$ is defined by

$$\tau_j(\lambda_{\mathbf{A}, \mathbf{B}}(\mathbf{T})) = A_j T_j B_j \quad (1 \leq j \leq n),$$

where

$$\tau_j(T_1, T_2, \dots, T_n) := T_j \quad (1 \leq j \leq n),$$

and for a tuple $\mathbf{p} \in \mathcal{P}_n$, the set of all n -tuples of polynomials in $2n$ noncommuting variables, the map $\psi_{\mathbf{p}}$ is defined by

$$\tau_j(\psi_{\mathbf{p}}(\mathbf{T})) := p_j(T_1, T_2, \dots, T_n, T_1^*, T_2^*, \dots, T_n^*).$$

For a subset \mathcal{S} in $\mathcal{B}(H)$, let $\mathcal{E}_{\mathcal{S}}$ be the pointwise norm closed subalgebra generated by the maps $\lambda_{\mathbf{A}, \mathbf{B}}$, $\mathbf{A}, \mathbf{B} \in \mathcal{S}^n$ and $\psi_{\mathbf{p}}$, $\mathbf{p} \in \mathcal{P}_n$.

For a subset \mathcal{G} of $\mathcal{E}_{\mathcal{S}}$, a tuple \mathbf{T} is said to be \mathcal{G} -definite (resp., \mathcal{G} -semidefinite) if

$$\phi(\mathbf{T}) = O \quad (\text{resp.,} \quad \tau_j(\phi(\mathbf{T})) \geq O \quad (1 \leq j \leq n))$$

for any $\phi \in \mathcal{G}$.

If a subspace \mathcal{M} reduces the terms of \mathbf{T} , put $\mathbf{T}|_{\mathcal{M}} := (T_1|_{\mathcal{M}}, T_2|_{\mathcal{M}}, \dots, T_n|_{\mathcal{M}})$, and if a subspace \mathcal{M} reduces any operator in \mathcal{S} , then from any $\phi \in \mathcal{G}$ the map $\phi_{\mathcal{M}}$ from $\mathcal{B}(\mathcal{M})^n$ into itself is induced by a familiar procedure. Moreover, if \mathcal{M} also reduces \mathbf{T} (i.e., \mathcal{M} reduces each term of \mathbf{T}), then the concepts of $\mathcal{G}_{\mathcal{M}}$ -definiteness and $\mathcal{G}_{\mathcal{M}}$ -semidefiniteness for $\mathbf{T}|_{\mathcal{M}} := (T_1|_{\mathcal{M}}, T_2|_{\mathcal{M}}, \dots, T_n|_{\mathcal{M}})$ make sense.

Corollary 1 immediately follows from:

Theorem 1. *Let \mathcal{G} be a subset of $\mathcal{E}_{\mathcal{S}}$, \mathcal{S} a subset of $\mathcal{B}(H)$. Then any tuple $\mathbf{T} \in \mathcal{B}(H)^n$ has the maximal \mathbf{T} - and \mathcal{S} -reducing subspace \mathcal{M} of \mathcal{H} on which \mathbf{T} is \mathcal{G} -definite (resp., \mathcal{G} -semidefinite), and the maximal \mathbf{T} - and \mathcal{S} -reducing subspace \mathcal{N} of \mathcal{H} such that \mathbf{T} is essentially \mathcal{G} -definite (resp., \mathcal{G} -semidefinite) on any \mathbf{T} - and \mathcal{S} -reducing subspace of \mathcal{N} on which the C^* -algebra generated by the terms of \mathbf{T} and members of \mathcal{S} is irreducible.*

In the case, $\mathcal{M} \subseteq \mathcal{N}$ holds and the projection onto \mathcal{M} is contained in the center of the von Neumann algebra generated by the terms of \mathbf{T} and members of \mathcal{S} .

Proof. We first show that the subspace

$$\mathcal{M} = \bigcap \left\{ \text{Ker} \left((\tau_j(\phi(\mathbf{T})) - |\tau_j(\phi(\mathbf{T}))|)A \right) : \phi \in \mathcal{G}, A \in \mathcal{A}, 1 \leq j \leq n \right\},$$

where \mathcal{A} is the C^* -algebra generated with the identity I by the terms of \mathbf{T} and members of \mathcal{S} , is the maximal \mathbf{T} - and \mathcal{S} -reducing subspace \mathcal{M} of \mathcal{H} on which \mathbf{T} is \mathcal{G} -semidefinite. It is obvious that $\mathbf{T}|_{\mathcal{M}}$ is $\mathcal{G}_{\mathcal{M}}$ -semidefinite. Let \mathcal{M}' be arbitrary \mathbf{T} - and \mathcal{S} -reducing subspace of \mathcal{H} on which \mathbf{T} is \mathcal{G} -semidefinite. Hence we have

$$(\tau_j(\phi(\mathbf{T})) - |\tau_j(\phi(\mathbf{T}))|)A\xi = o \quad \text{for } \xi \in \mathcal{M}'.$$

Therefore we have $\mathcal{M}' \subseteq \mathcal{M}$ and hence \mathcal{M} is the maximal \mathbf{T} - and \mathcal{S} -reducing subspace. For $B \in \mathcal{A}'$ and $\xi \in \mathcal{M}$, we see that

$$(\tau_j(\phi(\mathbf{T})) - |\tau_j(\phi(\mathbf{T}))|)AB\xi = B(\tau_j(\phi(\mathbf{T})) - |\tau_j(\phi(\mathbf{T}))|)A\xi = o.$$

Then $B\xi \in \mathcal{M}$ and hence \mathcal{M} reduces B . Consequently, the projection onto \mathcal{M} is contained in the center of the von Neumann algebra generated by the terms of \mathbf{T} and members of \mathcal{S} . Similary, for the \mathcal{G} -definite case, we can show that the subspace

$$\mathcal{M} = \bigcap \left\{ \text{Ker} (\tau_j(\phi(\mathbf{T}))A) : \phi \in \mathcal{G}, A \in \mathcal{A}, 1 \leq j \leq n \right\}$$

is the one stated in the theorem. To prove the essentially \mathcal{G} -definite (resp., \mathcal{G} -semidefinite) case, decompose \mathcal{A} to the direct sum $\bigoplus \mathcal{A}_k$ (on $\mathcal{H} = \bigoplus \mathcal{H}_k$) of irreducible algebra and let \mathcal{N} be the direct sum of \mathcal{H}_k on which \mathbf{T} is essentially \mathcal{G} -definite (resp., \mathcal{G} -semidefinite). Then \mathcal{N} is nothing but the subspace stated in the theorem. \square

Example 2. It is known that an operator S is subnormal if and only if

$$\phi_{A_1, A_2, \dots, A_n}(S) := \sum_{0 \leq j, k \leq n} A_j^* S^{*k} S^j A_k \geq O$$

for any $A_1, A_2, \dots, A_n (n \geq 1)$ in the C^* -algebra generated by S and I (see [2]). Then, applying Theorem 1 to the functions $\{\phi_{A_1, A_2, \dots, A_n}\}$, we see that any operator T has the maximal subnormal part T_s and completely nonsubnormal part T' such that $T = T_s \oplus T'$. Moreover, T has the maximal T -reducing subspace \mathcal{N}_s such that T is “essentially subnormal” on any T -reducing subspace of \mathcal{N}_s on which T is irreducible.

Corollary 2. *Let \mathcal{S} be a subset of $\mathcal{B}(H)$, $\{\phi_{i,j} : 1 \leq i, j \leq n\}$ a subset of $\mathcal{E}_{\mathcal{S}}$ and $T \in \mathcal{B}(H)$. Then, there exists the maximal T -reducing subspace \mathcal{M} such that $(\phi_{i,j}(T))|_{\mathcal{M}^n} \geq O$ on the direct sum \mathcal{M}^n of n copies of \mathcal{M} .*

Proof. Put $M(T) = (\phi_{ij}(T))$ and

$$q_{ij}(T) = \sum_k \phi_{ki}(T) \phi_{jk}(T)^*.$$

Then $q_{ij} \in \mathcal{E}_{\mathcal{S}}$ and $M(T)^*M(T) = (q_{ij}(T))$. Choose a sequence $\{p_n\}$ of polynomials in single variable such that $\|p_n(A) - A^{1/2}\| \rightarrow 0$ for any positive operator A . Let

$$p_n(M(T)^*M(T)) = (p_{ij,n}(T)) \quad \text{and} \quad |M(T)| = (\psi_{ij}(T)).$$

Then $p_{ij,n} \in \mathcal{E}_S$ and

$$\|p_{ij,n}(T) - \psi_{ij}(T)\| \rightarrow 0$$

for any $1 \leq i, j \leq n$ because

$$\|p_n(M(T)^*M(T)) - |M(T)|\| \rightarrow 0.$$

Therefore ψ_{ij} is the pointwise norm limit of $\{p_{ij,n}\}$ and hence $\psi_{ij} \in \mathcal{E}_S$. Now we apply Theorem 1 to

$$\mathcal{G} = \{\phi_{ij} - \psi_{ij} : 1 \leq i, j \leq n\}$$

to have the maximal T - and \mathcal{S} -reducing subspace \mathcal{M} on which T is \mathcal{G} -definite, or equivalently, $M(T) = |M(T)|$ holds. Therefore \mathcal{M} is the maximal subspace such that $(\phi_{ij}(T)) \geq O$ holds on \mathcal{M}^n . \square

We will proceed with the following:

Theorem 2. *Let \mathcal{S} be a subset of $\mathcal{B}(H)$, $\{\phi_{i,j} : 1 \leq i, j \leq n\}$ be a subset of \mathcal{E}_S . If $T \in \mathcal{B}(H)$ satisfies that $\pi((\phi_{ij}(T))) \geq O$, π the Calkin map for $\mathcal{B}(\mathcal{H}^n)$, then there exists an orthogonal family $\{\mathcal{H}_m : m \geq 0\}$ of T - and \mathcal{S} -reducing subspaces of \mathcal{H} which satisfies the following statements:*

(a) $\mathcal{H} = \bigoplus_{m=0}^{\infty} \mathcal{H}_m$, and there is no nontrivial T - and \mathcal{S} -reducing subspace of \mathcal{H}_m if $m \geq 1$.

(b) \mathcal{H}_0 is the maximal T - and \mathcal{S} -reducing subspace such that

$$(\phi_{ij}(T))|_{\mathcal{H}_0^n} \geq O$$

holds.

Therefore, if $m \geq 1$,

$$(\phi_{ij}(T))|_{\mathcal{H}_m^n} \geq O$$

holds essentially, but there is no T - and \mathcal{S} -reducing subspace of \mathcal{H}_m on which $(\phi_{ij}(T)) \geq O$ holds.

Proof. Put $M(T) = (\phi_{ij}(T))$, and

$$|M(T)| = (\psi_{ij}(T)), \quad \{\psi_{ij}\} \subset \mathcal{E}_S.$$

and put $\mathcal{G} = \{\phi_{ij} - \psi_{ij}\}$. Since $\pi((\phi_{ij}(T))) = (\pi(\phi_{ij}(T)))$ and $\pi(|T|) = |\pi(T)|$, it follows by the similar argument used in the proof of Corollary 2 that $\pi((\phi_{ij}(T))) \geq O$ if and only if T is essentially \mathcal{G} -definite. So, apply Theorem 1 in [4], we obtain the family $\{\mathcal{H}_m\}$. \square

Therefore, for any given $T \in \mathcal{B}(H)$ and $\{\phi_{ij}\}, \{\psi_{ij}\} \subset \mathcal{E}_S$, the claim on $(\phi_{ij}(T))$ and $(\psi_{ij}(T))$ corresponding to that of Corollary 1 should be true.

Example 3. An operator $T \in \mathcal{B}(H)$ called k -hyponormal ($1 \leq k \leq \infty$) if the operator matrix

$$(T^{*(j-1)}T^{i-1})_{1 \leq i, j \leq (k+1)}$$

is positive (cf. [1]). Then apply the preceding corollaries to this matrix, we conclude that any T has the maximal k -hyponormal part, and any essentially k -hyponormal operator T can be decomposed into the direct sum

$$T = T_0 \oplus T_1 \oplus T_2 \oplus \dots$$

of the maximal k -hyponormal part T_0 and irreducible essentially k -hyponormal, but non k -hyponormal operators T_1, T_2, \dots

Let $\mathbf{H}_k(1 \leq k \leq \infty)$ be the set of all k -hyponormal operators, then it is clear that $\mathbf{H}_{k+1} \subseteq \mathbf{H}_k(1 \leq k \leq \infty)$ and $\bigcap \mathbf{H}_k$ coincides with the set of all subnormal operators. It follows that any $T \in \mathcal{B}(H)$ can be decomposed into

$$T = T_0 \oplus T_1 \oplus T_2 \oplus \dots \oplus T_s \quad \text{on} \quad \mathcal{H} = \mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \dots \oplus \mathcal{H}_s,$$

where T_0 is completely nonhyponormal, T_k ($1 \leq k < \infty$) is k -hyponormal but completely non $(k + 1)$ -hyponormal and T_s is subnormal. To show this, first we decompose $T = T_s \oplus T'$ where T_s is the maximal subnormal part (acting on the subspace \mathcal{H}_s) and T' is the completely non subnormal part (acting on \mathcal{H}_0). Next, decompose $T' = T_0 \oplus T'_1$ where T_0 is the completely non hyponormal part and T'_1 is the maximal hyponormal part (acting on \mathcal{H}'_1) of T' . Then, we also decompose $T'_1 = T_1 \oplus T'_2$ where T_1 is completely non 2-hyponormal but hyponormal and T'_2 is the maximal 2-hyponormal part (acting on \mathcal{H}'_2) of T'_1 . Recursively, if T'_k is maximal k -hyponormal part (acting on \mathcal{H}'_k) of T'_{k-1} , then T'_k is decomposed into the direct sum $T'_k = T_k \oplus T'_{k+1}$ of k -hyponormal but non $(k + 1)$ -hyponormal operator T_k and maximal $(k + 1)$ -hyponormal part T'_{k+1} (acting on \mathcal{H}'_{k+1}) of T'_k . Using the maximality, it is easy to see that $\bigcap_{k=1}^{\infty} \mathcal{H}'_k = \{o\}$ and hence, putting

$$\mathcal{H}_k = \mathcal{H}'_k \ominus \mathcal{H}'_{k+1}, \quad \mathcal{H}'_1 = \bigoplus_{k=1}^{\infty} \mathcal{H}_k,$$

we have the decomposition above stated.

A parallel consideration makes sense on an essentially completely non-hyponormal part, an essentially k -hyponormal but not $(k + 1)$ -hyponormal part, and an essentially subnormal part, of T .

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