

## WEYL'S THEOREM AND TENSOR PRODUCT FOR OPERATORS

IN HYOUN KIM

ABSTRACT. An operator  $T$  is called  $(p, k)$ -quasihyponormal if  $T^{*k}(|T|^{2p} - |T^*|^{2p})T^k \geq 0$ , ( $0 < p \leq 1$ ;  $k \in \mathbb{Z}^+$ ), which is a common generalization of  $p$ -quasihyponormality and  $k$ -quasihyponormality. In this paper we consider the Putnam's inequality, the Berger-Shaw's inequality, the Weyl's theorem and the tensor product for  $(p, k)$ -quasihyponormal operators.

Throughout this paper let  $\mathcal{H}$  denote an infinite dimensional separable complex Hilbert space with inner product  $\langle \cdot, \cdot \rangle$ . Let  $\mathcal{B}(\mathcal{H})$  denote the  $C^*$ -algebra of all bounded linear operators on  $\mathcal{H}$  and let  $\mathcal{K}(\mathcal{H})$  denote the closed ideal of all compact operators on  $\mathcal{H}$ . An operator  $T \in \mathcal{B}(\mathcal{H})$  is called *Fredholm*, denoted by  $T \in \mathcal{F}$ , if  $\text{ran}(T)$  is closed and both  $\ker(T)$  and  $\mathcal{H}/\text{ran}(T)$  are finite dimensional. The *index* of a Fredholm operator  $T \in \mathcal{B}(\mathcal{H})$ , denoted by  $\text{ind}(T)$ , is given by

$$\text{ind}(T) = \dim \ker(T) - \dim (\mathcal{H}/ \text{ran}(T)).$$

An operator  $T \in \mathcal{B}(\mathcal{H})$  is called *Weyl*, denoted by  $T \in \mathcal{F}_0$ , if it is Fredholm of index zero. The point spectrum  $\sigma_p(T)$ , the essential spectrum  $\sigma_e(T)$  and the Weyl spectrum  $w(T)$  of  $T \in \mathcal{B}(\mathcal{H})$  are defined by

$$\begin{aligned} \sigma_p(T) &:= \{\lambda \in \mathbb{C} : T - \lambda \text{ is not one to one}\}; \\ \sigma_e(T) &:= \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Fredholm}\}; \\ w(T) &:= \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Weyl}\}; \end{aligned}$$

evidently

$$\sigma_e(T) \subseteq w(T) \subseteq \sigma_e(T) \cup \text{acc}\sigma(T),$$

where we write  $\text{acc}K$  for the *accumulation points* of  $K \subseteq \mathbb{C}$ . We write  $\text{iso}K := K \setminus \text{acc}K$  and

$$\pi_{00}(T) := \{\lambda \in \text{iso}\sigma(T) : 0 < \dim(\ker(T - \lambda)) < \infty\}$$

for the isolated eigenvalues of finite multiplicity. According to Corburn [3], we say that Weyl's theorem holds for  $T \in \mathcal{B}(\mathcal{H})$  if

$$\sigma(T) \setminus w(T) = \pi_{00}(T).$$

---

2000 *Mathematics Subject Classification*. Primary 47A80; Secondary 47B20.

*Key words and phrases*.  $(p, k)$ -quasihyponormal, Weyl's theorem, tensor product.

This work was supported by the Korea Research Foundation Grant (KRF-2002-070-C00006)

For  $p$  such as  $0 < p \leq 1$ , an operator  $T \in \mathcal{B}(\mathcal{H})$  is called  $p$ -hyponormal if  $(T^*T)^p - (TT^*)^p \geq 0$ , and is called  $(p, k)$ -quasihyponormal if  $T^{*k}(|T|^{2p} - |T^*|^{2p})T^k \geq 0$ , where  $0 < p \leq 1$  and  $k$  is a positive integer. Especially, when  $p = 1, k = 1$  and  $p = k = 1$ ,  $T$  is called  $k$ -quasihyponormal,  $p$ -quasihyponormal and quasihyponormal, respectively. It is clear that

$$\begin{aligned} \{\text{hyponormal operators}\} &\subseteq \{p\text{-hyponormal operators}\} \\ &\subseteq \{p\text{-quasihyponormal operators}\} \\ &\subseteq \{(p, k)\text{-quasihyponormal operators}\}. \end{aligned}$$

and

$$\begin{aligned} \{\text{hyponormal operators}\} &\subseteq \{k\text{-quasihyponormal operators}\} \\ &\subseteq \{(p, k)\text{-quasihyponormal operators}\}. \end{aligned}$$

In what follows, all  $2 \times 2$  block matrices are to be considered as a representation of an operator with respect to a pair of complementary, orthogonal subspaces of the underlying Hilbert space  $\mathcal{H}$

**Proposition 1.** *If  $T$  is  $(p, k)$ -quasihyponormal operator, then  $T$  has the following matrix representation:*

$$(1.1) \quad T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$$

where  $T_1$  is  $p$ -hyponormal on  $\overline{\text{ran}(T^k)}$  and  $T_3^k = 0$ . Furthermore,  $\sigma(T) = \sigma(T_1) \cup \{0\}$ .

From proposition 1 it follows that if  $T$  is  $(p, k)$ -quasihyponormal and  $\overline{\text{ran}(T)} = \mathcal{H}$ , then  $T$  is  $p$ -hyponormal. It should be noted that (1.1) is not a canonical form in that a given  $T$  can be represented in several ways. For example, if  $S$  is the unilateral shift, then

$$\begin{pmatrix} S & I - SS^* \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} S & 0 \\ 0 & 0 \end{pmatrix}$$

are unitarily equivalent and hyponormal.

**Theorem 2.** *If  $T$  is a  $(p, k)$ -quasihyponormal and the restriction  $T_1$  of  $T$  on  $\overline{\text{ran}(T^k)}$  is invertible, then  $T$  is similar to a direct sum of a  $p$ -hyponormal operator and a nilpotent operator.*

**Theorem 3.** *If  $T$  is a  $(p, k)$ -quasihyponormal and  $\lambda_0$  is an isolated point of  $\sigma(T)$  then  $\lambda_0$  is an eigenvalue, i.e.,  $T$  is isoloid.*

For some operators, there is an intimate relationship between the planar Lebesgue measure of its spectrum and its self-commutator. For example, Putnam [Pu] obtained the norm estimation for the self-commutator of a hyponormal operator, called Putnam's inequality. This inequality is extended for a  $p$ -hyponormal operator by Xia [X], Cho-Itoh [CI] and Duggal [D2]. Also, this is extended for a  $p$ -quasihyponormal operator by Uchiyama [U2]. On the other hand, Berger-Shaw [BS] showed the trace norm estimation for the self-commutator of  $n$ -multicyclic hyponormal operator, called Berger-Shaw's inequality. This is extended for a  $p$ -hyponormal and  $p$ -quasihyponormal operator by Uchiyama [U1, U2].

**Putnam's Inequality.** For a hyponormal operator  $T$ ,  $\|T^*T - TT^*\| \leq \frac{1}{\pi} \text{Area}(\sigma(T))$ , where  $\text{Area}$  means the planar Lebesgue measure.

**Berger-Shaw's Inequality.** If  $T$  is a  $n$ -multicyclic hyponormal operator, then  $[T^*, T] = T^*T - TT^*$  is in the trace class, and  $\text{tr}([T^*, T]) \leq \frac{n}{\pi} \text{Area}(\sigma(T))$ , where  $\text{Area}$  means the planar Lebesgue measure.

The following theorem is an extension of Putnam's inequality to the case of  $(p, k)$ -quasihyponormal operators.

**Theorem 4.** If  $T$  is a  $(p, k)$ -quasihyponormal operator, then

$$\|P\{(T^*T)^p - (TT^*)^p\}P\| \leq \min \left\{ \frac{p}{\pi} \int_{\sigma(T)} r^{2p-1} dr d\theta, \left( \frac{1}{\pi} \int_{\sigma(T)} r dr d\theta \right)^p \right\},$$

where  $P$  is the projection onto  $\overline{\text{ran}(T^k)}$ .

**Corollary 5.** If  $T$  is a  $(p, k)$ -quasihyponormal operator and  $\sigma(T)$  is Lebesgue null-set, then  $T$  is the direct sum of normal operator and nilpotent operator.

For  $T \in \mathcal{B}(\mathcal{H})$ ,  $\mathcal{R}(\sigma(T))$  denotes the set of all rational functions being analytic on  $\sigma(T)$ . The operator  $T$  is said to be  $n$ -multicyclic if there are  $n$  vectors  $x_1, \dots, x_n \in \mathcal{H}$ , called generating vectors, such that  $\bigvee \{g(T)x_i \mid i = 1, \dots, n \text{ and } g \in \mathcal{R}(\sigma(T))\} = \mathcal{H}$ .

The following theorem is an extension of Berger-Shaw's inequality to the case of  $(p, k)$ -quasihyponormal operators.

**Theorem 6.** If  $T$  is an  $n$ -multicyclic  $(p, k)$ -quasihyponormal operator, then we have :

- (i) The restriction  $T_1$  of  $T$  on  $\overline{\text{ran}(T^k)}$  is also an  $n$ -multicyclic operator ;
- (ii)  $\{P(T^*T)^p P - P(TT^*)^p P\}^{\frac{1}{p}}$  belongs to the Schatten  $\frac{1}{p}$ -class and

$$\text{tr} \left( \{P(T^*T)^p P - P(TT^*)^p P\}^{\frac{1}{p}} \right) \leq \frac{n}{\pi} \text{Area}(\sigma(T)),$$

where  $P$  is the projection onto  $\overline{\text{ran}(T^k)}$ .

S.H. Lee and W.Y. Lee [LL] showed that for hyponormal operators, the Weyl spectrum obeies the spectral mapping theorem and J.C. Hou and X.L. Zhang [HZ] showed that the Weyl spectral mapping theorem holds for quasihyponormal operators. We consider the Weyl spectral mapping theorem for  $(p, k)$ -quasihyponormal operators.

**Theorem 7.** If  $T$  is  $(p, k)$ -quasihyponormal, then  $f(w(T)) = w(f(T))$  for any analytic function  $f$  on a neighborhood of  $\sigma(T)$ .

Weyl's theorem may or may not hold for a direct sum of operators for which Weyl's theorem holds. For example, if  $S$  is the unilateral shift on  $l_2$ , then Weyl's theorem holds for both  $S$  and  $S^*$ , while it does not hold for  $S \oplus S^*$ . But it was

known [L1] that if  $A$  and  $B$  are isoloid and if Weyl's theorem holds for  $A$  and  $B$  then

$$\text{Weyl's theorem holds for } \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} \Leftrightarrow w \begin{pmatrix} A & C \\ 0 & B \end{pmatrix} = w(A) \cup w(B).$$

In general, Weyl's theorem does not hold for operator matrix  $\begin{pmatrix} A & C \\ 0 & B \end{pmatrix}$  even though Weyl's theorem holds for  $\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$  (see [L2]). But W.Y. Lee [L2] gave the passage from  $w(A) \cup w(B)$  to  $w \begin{pmatrix} A & C \\ 0 & B \end{pmatrix}$  and showed that if either  $SP(A)$  or  $SP(B)$  has no pseudoholes and if  $A$  is an isoloid operator for which Weyl's theorem holds then for every  $C \in \mathcal{B}(\mathcal{H})$ , then

$$\text{Weyl's theorem holds for } \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} \Rightarrow \text{Weyl's theorem holds for } \begin{pmatrix} A & C \\ 0 & B \end{pmatrix}.$$

L.A. Corburn [Co], M. Cho-M. Itoh-S. Oshio [CIO], S.L. Campbell-B.C. Gupta [CG] and A. Uchiyama-S.V. Djordjevic [UD] showed that Weyl's theorem holds for hyponormal operators,  $p$ -hyponormal operators,  $k$ -quasihyponormal operators and  $p$ -quasihyponormal operators, respectively. We consider the Weyl's theorem for  $(p, k)$ -quasihyponormal operators. To do this we need the concept of the "spectral picture" [Pe] of the operator  $T \in \mathcal{B}(\mathcal{H})$ , denoted by  $SP(T)$ , which consists of the set  $\sigma_e(T)$ , the collection of holes and pseudoholes in  $\sigma_e(T)$ , and the indices associated with these holes and pseudoholes.

We have the following result.

**Theorem 8.** *Weyl's theorem holds for every  $(p, k)$ -quasihyponormal operators.*

The operation of taking tensor products  $A \otimes B$  preserves many a property of  $A, B \in \mathcal{B}(\mathcal{H})$ , by no means all of them. Thus, whereas the normaloid property is invariant under tensor products, the spectraloid is not. Again, whereas  $A \otimes B$  is normal if and only if  $A$  and  $B$  are [Ho], there exist paranormal operators  $A$  and  $B$  such that  $A \otimes B$  is not paranormal [Sa]. On the other hand, J. Hou [Ho] and J. Stochel [St] showed that  $T \otimes S$  is hyponormal on  $\mathcal{H} \otimes \mathcal{H}$  if and only if each of  $T$  and  $S$  is hyponormal. More recently, B.P. Duggal [D1] demonstrated that the Hou–Stochel theorem remains true when one substitutes the term " $p$ -hyponormal" for "hyponormal". Very recently, in [FK], it was shown that Hou–Stochel theorem remains true when one substitutes the term " $p$ -quasihyponormal or  $w$ -hyponormal" for "hyponormal".

The next theorem extends J. Hou [Ho, Theorem 1.4] and Farenick and Kim [FK, Theorem 9].

**Theorem 9.** *Let  $A \in \mathcal{B}(\mathcal{H})$  and  $B \in \mathcal{B}(\mathcal{K})$  are nonzero operators. Then  $A \otimes B$  is  $(p, k)$ -quasihyponormal if and only if one of the following holds:*

1.  $A^k = 0$  or  $B^k = 0$ ,
2.  $A$  and  $B$  are  $(p, k)$ -quasihyponormal.

## REFERENCES

- [BS] C.A. Berger and B.I. Shaw, Selfcommutators of multicyclic hyponormal operators are always trace class, *Bull. Amer. Math. Soc.* **79** (1973), 1193–1199.
- [CG] S.L. Campbell and B.C. Gupta, On  $k$ -quasihyponormal operators, *Math. Japonica* **23** (1978), 185–189.
- [CI] M. Cho and M. Itoh, Putnam inequality for  $p$ -hyponormal operators, *Proc. Amer. Math. Soc.* **123** (1995), 2435–2440.
- [CIO] M. Cho, M. Itoh and S. Oshiro, Weyl's theorem holds for  $p$ -hyponormal operators, *Glasgow Math. J.* **39** (1997), 217–220.
- [Co] L.A. Coburn, Weyl's theorem for non-normal operators, *Michigan Math. J.* **13** (1966), 285–288.
- [D1] B.P. Duggal, Tensor products of operators—strong stability and  $p$ -hyponormality, *Glasgow Math. J.* **42** (2000), 371–381.
- [D2] B.P. Duggal, On the spectrum of  $p$ -hyponormal operators, *Acta. Sci. Math. J.(szeged)* **63** (1997), 623–637.
- [FK] D.R. Farenick and I.H. Kim, Tensor products of quasihyponormal operators, (preprint).
- [GR] B.C Gupta and P.B Ramanujan, On  $k$ -quasihyponormal operators II, *Tohoku Math. J.* **20** (1968), 417–424.
- [Ha] F. Hansen, An operator Inequality, *Math. Ann* **246** (1980), 249–250.
- [HLL] J.K. Han, H.Y. Lee and W.Y. Lee, Invertible completions of  $2 \times 2$  upper triangular operator matrices, *Proc. Amer. Math. Soc.* **128** (1999), 119–123.
- [Ho] J. Hou, On tensor products of operators, *Acta Math. Sinica (N.S.)*. **9** (1993), 195–202.
- [HZ] J.C. Hou and X.L. Zhang, On the Weyl spectrum: Spectral mapping theorem and Weyl's theorem, *Journal of Mathematical Analysis and Applications* **220** (1998), 760–768.
- [L1] W.Y. Lee, Weyl spectra of operator matrices, *Proc. Amer. Math. Soc.* **129** (2000), 131–138.
- [L2] W.Y. Lee, Weyl's theorem for operator matrices, *Integral Equations Operator Theory*. **32** (1998), 319–331.
- [LL] W.Y. Lee and S.H. Lee, A spectral mapping theorem for the Weyl spectrum, *Glasgow Math. J.* **38** (1996), 61–64.
- [Pe] C.M. pearcy, Some Recent Developments in Operator Theory, *CBMS 36, AMS, Providence.* (1978).
- [Pu] C.R. Putnam, An Inequality for the area of hyponormal spectra, *Math. Z.* **116** (1970), 323–330.
- [Sa] T. Saito, Hyponormal operators and related topics, Lecture Notes in Mathematics No. 247(Springer-Verlag, 1971).
- [St] J. Stochel, Seminormality of operators from their tensor product, *Proc. Amer. Math. Soc.* **124** (1996), 435–440.
- [U1] A. Uchiyama, Berger-Shaw's theorem for  $p$ -hyponormal operators, *Integral Equations Operator Theory*. **33** (1999), 221–230.

- [U2] A. Uchiyama, Inequalities of Putnam and Berger-Shaw for  $p$ -quasihyponormal operators, *Integral Equations Operator Theory*. **34** (1999), 91–106.
- [UD] A. Uchiyama and S.V. Djordjevic, Weyl's theorem for  $p$ -quasihyponormal operators, (preprint).
- [X] D. Xia, Spectral theory for hyponormal operators, Birkhauser Verlag, Basel., 1983.

DEPARTMENT OF MATHEMATICS, KYUNGPPOOK NATIONAL UNIVERSITY, TAEGU 702-701, KOREA

*E-mail address:* `ihkim@math.skku.ac.kr`