

ON THE ZEROES OF HOLOMORPHIC VECTOR FIELDS ON ALGEBRAIC MANIFOLDS

JUN-MUK HWANG

Given a holomorphic vector field V on a complex algebraic manifold X , the problems we will survey here are about the relation between the geometry of X and the geometry of zeroes of V . Here, we will assume that the zero set $Z(V)$ of V is non-empty. The integral curves of V define a foliation of $X - Z(V)$ by analytic curves. In general, these analytic curves are non-algebraic. However, most problems can be reduced to the case when the integral curves are all algebraic. As a matter of fact, most of the problems can be reduced to the case when V is induced by an algebraic action of the additive algebraic group $G_a(\mathbf{C})$ on X for the following reason.

When X is a projective manifold, or more generally when X is a Moishezon manifold, i.e., a non-singular algebraic space, it is well-known that the identity component $Aut_o(X)$ of the group of automorphisms of X is an algebraic group. The 1-parameter subgroup $exp(tV)$ of automorphisms of X induced by the vector field V is a 1-dimensional commutative analytic subgroup of $Aut_o(X)$. Let G_V be the Zariski closure of $exp(tV)$ in $Aut_o(X)$. Then G_V is an algebraic subgroup of $Aut_o(X)$, which is commutative because it contains a Zariski dense commutative subgroup $exp(tV)$. Pick a point $z \in Z(V)$. Then z is a fixed point under the action of G_V on X , because it is fixed by the Zariski dense subgroup $exp(tV)$. Consider the action of G_V on the jet spaces at z . Its action on the jet space of sufficiently higher order must be faithful, because an algebraic group action which is trivial in all jets at z must be trivial on the whole X . Thus G_V has a faithful linear representation on some high order jet space at z . It follows that G_V is a commutative linear algebraic group. By the structure theory of commutative linear algebraic group, G_V is of the form $(G_a(\mathbf{C}))^k \times (G_m(\mathbf{C}))^l$ for some integers k, l , where $G_a(\mathbf{C})$ and $G_m(\mathbf{C})$ denote the additive group and the multiplicative group over the complex numbers. By Bialynicki-Birula decomposition ([BB]), the structure of the fixed point sets and orbits of $G_m(\mathbf{C})$ -action on algebraic manifolds are very well-understood. Thus the difficult part is to understand when $G_V = G_a(\mathbf{C})$. For convenience, we will say that V is additive if $G_V = G_a(\mathbf{C})$ and multiplicative if $G_V = G_m(\mathbf{C})$.

1. VECTOR FIELDS VANISHING ON A HYPERSURFACE

The first circle of results that we survey here is the case when a component of $Z(V)$ is a hypersurface in X . The main question is what we can say about X in this case. Of course, given such V and X , we can consider the product $X \times Y$ with an arbitrary projective manifold Y and the naturally induced vector field V' on

1991 *Mathematics Subject Classification.* 14J45, 14J50.

Key words and phrases. vector fields, rational varieties, Fano manifolds.

$X \times Y$ so that $Z(V')$ has a codimension 1 component on $X \times Y$. Thus to have an interesting result, we must exclude the case when X and $Z(V)$ could come from a product construction.

One condition that exclude this possibility is when the codimension 1 component of $Z(V)$ is an ample hypersurface. Let L be the ample line bundle corresponding to that hypersurface. By looking at the action of G_V on the graded ring $\bigoplus_{k=0}^{\infty} H^0(X, \mathcal{O}(kL))$, Mori and Sumihiro proved the following:

Theorem [MS] *Let X be a projective manifold which has a non-zero holomorphic vector field vanishing on an ample hypersurface D . Then $X \cong \mathbf{P}_n$ and D corresponds to a hyperplane.*

This result was sharpened to the following form by Wahl.

Theorem [Wa] *Let L be an ample line bundle on a projective manifold X . If $H^0(X, \Theta_X(-L))$ has a non-zero section, then $X \cong \mathbf{P}_n$ and $L \cong \mathcal{O}(1)$.*

The difference from Mori-Sumihiro case is that L is not assumed to have a non-trivial section. Note that the zero section of the negative line bundle L^{-1} can be blown-down to get an algebraic variety L' with an isolated singularity. Wahl's idea is to show that L' is in fact non-singular by using a vector field on L' induced by a non-zero section of $\Theta_X(-L)$.

Another condition that exclude the possibility of X being a product of two manifolds is that the Picard number of X is 1. So assume that the Picard number of X is 1 and there exists a vector field V vanishing on a hypersurface D . If X is projective, then D is ample and we are reduced to the case of Mori-Sumihiro. But if X is just an algebraic space, then the ampleness of D is unclear and Mori-Sumihiro cannot be applied. This is the case treated in [Hw2].

Theorem [Hw2] *Let X be a non-singular algebraic space, i.e., a Moishezon manifold, which has Picard number 1. Suppose there exists a vector field vanishing on a hypersurface D . Then $X \cong \mathbf{P}_n$ and D corresponds to a hyperplane.*

The key ingredient of the proof is a structure theorem for additive vector fields vanishing on hypersurfaces which was proved in [Hw1] using the Poincare normal form theory of autonomous systems. An immediate application of above theorem is a simple proof of the following result of Siu, which answered a problem raised by Kodaira-Spencer in [KS].

Theorem [Si] *Let $\pi : \mathcal{X} \rightarrow \Delta := \{t \in \mathbf{C}, |t| < 1\}$ be a smooth proper morphism from a complex manifold \mathcal{X} to the unit disc. If a fiber of π is \mathbf{P}_n , then any other fiber of π is \mathbf{P}_n .*

By $H^1(\mathbf{P}_n, \Theta) = 0$, we see that a generic fiber of π is \mathbf{P}_n and the problem is what happens to the special fibers. Siu's original proof used several vector fields to analyze the orbit structure. A simpler proof is using the above result [Hw2]: just observe that any special fiber is Moishezon and has vector fields vanishing on a hypersurface by upper semi-continuity. The ideas of Siu's original proof are used in generalizing the above result to hyperquadrics:

Theorem [Hw1] *Let $\pi : \mathcal{X} \rightarrow \Delta := \{t \in \mathbf{C}, |t| < 1\}$ be a smooth proper morphism from a complex manifold \mathcal{X} to the unit disc. If a fiber of π is a smooth hyperquadric, then any other fiber of π is a smooth hyperquadric.*

The essential part of the proof is to apply the Poincare normal form theory to exterior products of vector fields. Here individual vector field does not vanish on a hypersurface but we exploit the fact that the exterior product of some of the vector fields involved vanishes on a hypersurface to a higher order.

It should be mentioned that the above two Theorems [Si], [Hw1] are immediate if we assume that π is projective from the works of Hirzebruch-Kodaira and Brieskorn ([HK] [Br]).

2. VECTOR FIELDS VANISHING AT ISOLATED POINTS

The opposite of the case when V vanishes on a hypersurface is when $Z(V)$ consists of finitely many points. The major problem here is the following conjecture of J. Carrell, which first appeared in the early 1970's:

Carrell's Conjecture *Let X be a projective manifold with a vector field V whose zero set consists of finitely many points. Then X is rational.*

From the classification of surfaces, one can easily check that this conjecture is true for surfaces. It is true when the vector field V is assumed to be multiplicative by Bialynicki-Birula theory ([BB]). If $\text{Aut}_o(X)$ is assumed to be a reductive group, then one can produce a multiplicative vector field from an additive vector field by Jacobson-Morozov lemma, and the conjecture is true.

Let us review some partial results on the conjecture here. First of all, for low dimensions, we proved the following.

Theorem [Hw3] *When $\dim(X) \leq 5$, Carrell's conjecture is true.*

Here the essential idea is to introduce a blow-up procedure to reduce the problem to the case when the additive vector field vanishes on a hypersurface, and then apply the result from [Hw1]. A similar technique works in arbitrary dimension, if one assumes that the linear part of the vector field at the isolated zero is identically zero:

Theorem [Hw4] *In Carrell's conjecture, assume that the linear part of V at a zero point vanishes identically. Then X is rational.*

Another special case of the conjecture is the following result of Konarski, which is in a sense the opposite to the case studied above in [Hw4]:

Theorem [Kn] *In Carrell's conjecture, assume that the linear part of V at a zero point has a single Jordan block. Then X is rational.*

Konarski's idea was to deform the vector field so that we can apply the theory of multiplicative vector fields.

3. ALGEBRAICITY QUESTION

Suppose X is a compact Kähler manifold which has a vector field V . Carrell and Lieberman showed that if $\dim(Z(V)) \leq 1$, then X is projective algebraic. This is an immediate corollary of the following vanishing theorem of theirs:

Theorem [CL] *Let X be a compact Kähler manifold with a holomorphic vector field V whose zero set has dimension $< k$. Then $H^p(X, \Omega^q) = 0$ for $|p - q| \geq k$.*

The idea of the proof is that the contraction of differential forms by the vector field V serves as a kind of homotopy operator for the Hodge-de Rham spectral

sequence outside the zero set of V . For the special case when $Z(V)$ has dimension 0, a simplified proof is given in [GH] pp. 708-712.

Note that the assumption $\dim(Z(V)) \leq 1$ implies that the subvariety $Z(V)$ is projective algebraic. Thus the next result is a generalization of Carrell-Lieberman's result:

Theorem [Hw3] *Let X be a compact Kähler manifold with a holomorphic vector field V . If the zero set $Z(V)$ is an algebraic variety, then X is projective algebraic.*

The idea is to blow-up certain subvarieties of $Z(V)$, to reduce it to the case when $Z(V)$ has a codimension-1 component and then use the universal Chow family of the orbital curves of the vector field.

4. VANISHING ORDERS OF VECTOR FIELDS

Given a complex manifold X and a holomorphic vector field V , we define the vanishing order of V at a given point $x \in X$ as follows. Choosing a local holomorphic coordinate system (z_1, \dots, z_n) at x , we can write V locally as

$$V = f_1(z) \frac{\partial}{\partial z_1} + \dots + f_n(z) \frac{\partial}{\partial z_n}$$

where f_i 's are local holomorphic function at x . Let \mathfrak{m} be the maximal ideal of the local ring \mathcal{O}_x of germs of holomorphic functions at x . We say that the vanishing order of V at x is k if $f_i \in \mathfrak{m}^k$ for each i and $f_j \notin \mathfrak{m}^{k+1}$ for some j .

A natural question to ask is how large the vanishing order can be. For example, one may ask: is the vanishing order of vector fields at a given point on an n -dimensional projective manifold bounded by a number depending only on n ? The answer is no. Suppose the vanishing orders at a given point $x \in X$ of all vector fields on X are bounded by a number N . Then we get a bound on the dimension of $\text{Aut}(X)$ in terms of N , by considering the Taylor expansion of vector fields at x . It is well-known that the Hirzebruch surface $\mathbf{P}(\mathcal{O}(m) \oplus \mathcal{O})$ has automorphism group of dimension $m + 5$ for $m > 0$. Thus for any integer M , we can find a Hirzebruch surface X so that at a generic point $x \in X$, the vanishing order of some vector field of X is larger than M .

Since these automorphisms of Hirzebruch surfaces arise from the twisting of the fiber bundle structure, one may ask whether we can get a nice bound if the manifolds do not have fibration structure. To guarantee this, we will consider Fano manifolds of Picard number 1 here. In this case, we get the following result:

Theorem [Hw5] *Let X be an n -dimensional Fano manifold of Picard number 1 and $x \in X$ be a generic point. Then for any vector field V on X , its vanishing order at x cannot exceed n . In particular, the dimension of $\text{Aut}(X)$ is bounded by $n \times \binom{2n}{n}$.*

By Mori's bend and break trick ([Kl]), there exists a rational curve on X whose normal bundle is of the form $[\mathcal{O}(1)]^p \oplus \mathcal{O}^{n-1-p}$ for some integer $p \geq 0$. Such a rational curve is called a standard rational curve. The main idea of the proof is to give a bound on the difference of the vanishing orders at two different points on a standard rational curve. Starting from a given point x , consider a connected curve with n irreducible components each of which is a standard rational curve. These connected curves cover a Zariski dense subset of X from the Picard number

condition on X . Now if the vanishing order of a vector field V at x is too big, then its vanishing orders at points of these connected curves remain big by the bound on the difference of the vanishing orders. This forces V to vanish identically on X .

It is unlikely that the above Theorem [Hw5] is sharp. In this regard, we raise the following question.

Question *Let X be a Fano manifold of Picard number 1 and $x \in X$ be a generic point of X . Is the vanishing order at x of any non-zero holomorphic vector field on X less than or equal to 2?*

REFERENCES

- [BB] Bialynicki-Birula: Some theorems on actions of algebraic groups. *Ann. Math.* **98** (1973) 480-497
- [Br] Brieskorn, E.: Ein Satz über die komplexen Quadriken. *Math. Ann.* **155** (1964) 184-193
- [CL] Carrell, J. and Lieberman, D.: Holomorphic vector fields and Kaehler manifolds. *Invent. math.* **21** (1973) 303-309
- [GH] Griffiths, P. and Harris, J.: *Principles of algebraic geometry*. John Wiley and Sons, New York, 1978
- [HK] Hirzebruch, F. and Kodaira, K.: On the complex projective spaces. *J. Math. Pures Appl.* **36** (1957) 201-216
- [Hw1] Hwang, J.-M.: Nondeformability of the complex hyperquadric. *Invent. math.* **120** (1995) 317-338
- [Hw2] Hwang, J.-M.: Characterization of the complex projective space by holomorphic vector fields. *Math. Zeit.* **221** (1996) 513-519
- [Hw3] Hwang, J.-M.: Additive vector fields, algebraicity and rationality. *Math. Ann.* **304** (1996) 757-767
- [Hw4] Hwang, J.-M.: Holomorphic vector fields with totally degenerate zeroes. *Compositio Math.* **105** (1997) 65-77
- [Hw5] Hwang, J.-M.: On the vanishing orders of holomorphic vector fields on Fano manifolds of Picard number 1. preprint, 1999.
- [Kl] Kollár, J.: *Rational curves on algebraic varieties*. Springer, Berlin, 1996
- [Kn] Konarski, J.: On Carrell's conjecture in a special case. *J. Algebra* **182** (1996) 38-44
- [KS] Kodaira, K. and Spencer, D.: On deformations of complex structures II. *Ann. Math.* **67** (1958) 403-466
- [MS] Mori, S. and Sumihiro, H.: On Hartshorne's conjecture. *J. Math. Kyoto Univ.* **18** (1978) 523-533
- [Si] Siu, Y.-T.: Nondeformability of the complex projective space. *J. reine angew. Math.* **399** (1989) 208-219, *Errata* **431** (1992) 65-74
- [Wa] Wahl, J.: A cohomological characterization of \mathbf{P}^n . *Invent. math.* **72** (1983) 315-322

KOREA INSTITUTE FOR ADVANCED STUDY ,207-43 CHEONGRYANGRI-DONG, SEOUL 130-012, KOREA

E-mail address: `jmhwang@ns.kias.re.kr`