

## DIFFERENTIABLE DYNAMICAL SYSTEMS

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ABSTRACT. This is a survey article on the area of differentiable dynamical systems which are based on geometrical assumptions about the dynamical process; especially focused on the hyperbolic structure and the stability of diffeomorphisms which are key notions in differentiable dynamical systems.

(hyperbolicity) (stability)

### 0. Introduction

(dynamical system).

(integral curve)

19 H. Poincare

A.M. Lyapunov G.D. Birkhoff

(stability) , A.A. Andronov, J. Auslander, V.V. Nemysky, W. Gottschalk, G. Hedlund, V.V. Stepanov

1960

(differentiable dynamical system) S. Smale

, D.V. Anosov, L. Arnold, M. Peixoto, M. Hirsch, J. Palis, C. Pugh, R. Mane

(topological dynamics) continuous action ,  $M$

Lie  $G$  ( $G = \mathbb{Z}$ , or  $\mathbb{R}$ ) differentiable action

survey article

, reg-

ularity , , Jordan canonical form

, vector fields,  $C^r$ -, transversality ,

Banach Implicit function Contraction mapping

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*Key words and phrases.* , diffeomorphism, (flow), (hyperbolicity), Anosov system, Axiom A, (structural stability).

- 1 (,  $G = \mathbb{Z}$ ) ,
- 2 (,  $G = \mathbb{R}$ ) ,
- 3 .

## 1. Diffeomorphisms

$M$  compact,  $G$  Lie,  $Diff^r(M)$   $C^r$ -  $M$   
 $C^r$ -diffeomorphism (,  $r \geq 1$ ).  $M$   $G$   $C^r$ -  
action  $C^r$  map  $\phi : M \times G \rightarrow M$  :

1.  $\phi(p, 0) = p$ ,  $p \in M$ ,  $0 \in G$  ;
2.  $\phi(\phi(p, s), t) = \phi(p, s + t)$ ,  $p \in M$ ,  $s, t \in G$ .

$G = \mathbb{Z}$   $C^r$ -action  $\phi$  ,  $M$   $C^r$ -diffeomorphism  $f \in$   
 $Diff^r(M)$  .  $G = \mathbb{R}$   $C^r$ -action  $\phi$   $M$   $C^r$ -flow  
2 .

$p \in M$   $f$  (orbit)  $\{f^n(p) : n \in \mathbb{Z}\}$  ,  $p$   
(periodic)  $n \in \mathbb{Z}^+$   $f^n(p) = p$  . ,  
 $n = 1$   $p$  (fixed point) .  $f$   
 $Per(f)$  .

$f$  (structure) .

$M$  , vector fields (,  
 $G = \mathbb{R}$ ) (flow) , flow  
cross-section cross-section diffeo-  
morphism . diffeomorphism  
dynamic , flow

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,  
: , .

1. ?
2. ?
3. ( $C^r$ -perturbation) ?
4. dynamics (generic property)?
5. (pseudo-orbit) (real-orbit)  
?

attractor ,  
(expansivity), (hyperbolicity), (sta-  
bility) , shadowing property

.

-(nonwan- der-  
ing), (chain recurrent) ,  $p \in M$  -

$p \in U$   $m \in \mathbb{Z}$   $n(\geq m)$   $f^n(U) \cap$

$U \neq \emptyset$  ,  $p \in M$   $\epsilon > 0$

$p \in U$   $\epsilon$ -pseudo-orbit .  $f$  -

$\overline{\Omega(f)}$  ,  $CR(f)$  .

$Per(f) \subset \Omega(f) \subset CR(f)$  .

$\Lambda \subset M$   $f \in Diff^r(M)$  (hyperbolic)  
,  $\Lambda$   $M$  tangent bundle  $T_\Lambda M$  subbundle  $E^s$ ,  $E^u$  Whit-  
ney sum ,  $f$  derivative map  $Tf$   $E^s$  contraction  
 $E^u$  expansion .  $M$

$f$  Anosov diffeomorphism . Anosov diffeomorphsim  
 toral hyperbolic automorphism .  
 $f$  dynamics nonwandering set  $\Omega(f)$   
 $\Omega(f)$  .  $CR(f)$   
 hyperbolic  $CR(f) = \overline{Per(f)}$  ,  $\Omega(f)$  hyperbolic  $\Omega(f) \neq$   
 $\overline{Per(f)}$  (Dankner) . 'Ax-  
 iom A' ,  $f$  Axiom A  $\Omega(f)$  hy-  
 perbolic  $\Omega(f) = \overline{Per(f)}$  . 'generically'  $\Omega(f) =$   
 $\overline{Per(f)}$  .

S. Smale  $f$  Axiom A ,  $\Omega(f)$  basic sets (compact,  
 invariant, topologically transitive sets)  
 (Spectral decomposition theorem) .  $CR(f)$

.  
 hyperbolic set  
 . Stable manifold  
 theorem Shadowing theorem . Stable manifold theorem  $\Lambda \subset M$   
 hyperbolic set  $\Lambda$   $x$  stable manifold  $\omega_\epsilon^s(x)$  unstable manifold  
 $\omega_\epsilon^u(x)$  , Shadowing theorem  $\Lambda$  pseudo-orbit  
 real orbit shadow .  $\Lambda$  hyperbolic  $f|_\Lambda$   
 expansive ([6], [11], [15], [16]) .  
 $f$   $Diff^r(M)$  ( $C^r$ )-perturbation ,  $f$   
 $f$  ( $C^r$ )-structurally stable , diffeomor-  
 phism . system  
 structurally stable .  
 perturbation , C. Pugh Closing  
 Lemma  $C^2$  .

Anosov diffeomorphism structurally stable  $Diff^r(M)$   
 open set  $C^0$ - dense.  $f$  hyperbolic fixed  
 point  $p$   $f$  derivative map  $T_p f$  local conjugate; ,  
 (Hartman-Grobman theorem).  $f$  Axiom A ,  
 $x, y \in \Omega(f)$  global stable manifold  $\omega^s(x)$  global unsta-  
 ble manifold  $\omega^u(y)$  transverse  $f$  strong transversality condition (STC)  
 , J. Palis S. Smale conjecture .

$f$  Axiom A STC  $f$  structually stable .  
 conjecture C. Robinson, J. Palis ,  
 1987 R. Mane  $C^1$  (,  $C^1$ -Stability Conjecture)  
 .  $C^2$  Closing Lemma

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 diffeomorphism  
 hyperbolicity stability . , at-  
 tractor, Morse-Smale system, generic property, bifurcation theory  
 ([1], [2], [6], [7], [11], [13], [15], [16])

## 2. Flows

$M$  flow  $\phi : M \times \mathbb{R} \rightarrow M$   $M$  tangent vector field ;  
 ,  $p \in M$

$$(*) \quad X(p) = \frac{\partial \phi(p, t)}{\partial t} \Big|_{t=0}$$

$X(p)$   $M$   $p$  tangent vector,  $\phi(p, t) :=$   
 $\phi_t(p)$   $(*)$  (solution).  $p$  flow  $\phi$   
 (orbit)  $\{\phi_t(p) : t \in \mathbb{R}\}$   $(*)$  .  
 $M$   $(*)$   $X(p) C^r, r \geq 1, M$  compact  
 regularity  $M$   $C^r$ -flow

flow(or vector field) diffeomorphism  $n$ -  
 $M$  diffeomorphism  $f$   $(n + 1)$ -  $\widetilde{M}$   
 flow(or vector field)  $X_f$  Poincare map  $f$  flow  $X_f$   $f$   
 suspension flow , diffeomorphism  $f$  flow  $X_f$

$f$  structurally stable  $X_f$  structurally stable.  
 diffeomorphism flow orbit

$1$  ; , nonwandering, chain recurrent, pseudo-  
 orbit, hyperbolicity, expansiveness, shadowing property, structural stability, Axiom  
 A, strong transversality condition, Stable manifold theorem, Stability Conjecture,  
 Closing Lemma diffeomorphism dynamic  
 flow  $(2)$ ,  
 [6], [11], [16] ).

, diffeomorphism dynamics flow dynam-  
 ics

$f$   $M$  Anosov diffeomorphism  $\Omega(f) = M$   
 $\phi$   $M$  Anosov flow  
 $\Omega(\phi) \neq M$  J. Franks R. Williams  $C^1$ -  
 Stability Conjecture diffeomorphism 1987 R. Mane  
 , flow (1996) S. Hayashi L. Wen

, diffeomor-  
 phism flow

### 3. Our Interests in Differentiable Dynamical Systems

$M$   $f \in Diff^r(M)$  hyperbolic  $f$  Anosov diffeomorphism  
 $\mathbb{R}^n$  linear map  $L$  eigen-  
 value  $1$  ,  $L$  matrix ,  $L$   
 $1$  linear map  $L$   $T^n = \mathbb{R}^n / \mathbb{Z}^n$  toral automorphism.

M. Hirsch ([12], Problem 10-(a)).

*Is the restriction of a diffeomorphism to a hyperbolic manifold Anosov?*

J. Franks C. Robinson  $M$  3

, ([12], Problem 10-(b))

*If  $f$  is Anosov and  $\Lambda$  is a  $C^1$  compact  $f$ -invariant submanifold of  $M$  then is  $f|_\Lambda$   
 Anosov?*

M. Hirsch, C. Pugh, C. Robinson, R. Mane, J.  
Franks, A. Zeghib ([4],  
[8], [9], [19], [20] ).  
hyperbolic set shadowing property

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